

IN THE UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

NATIONAL CREDIT UNION  
ADMINISTRATION BOARD, as  
Liquidating Agent of Southwest Corporate  
Federal Credit Union,

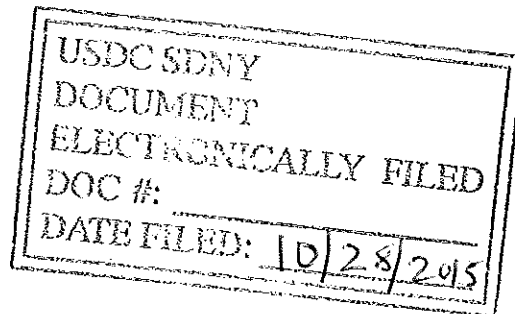
Plaintiff,

vs.

WACHOVIA CAPITAL MARKETS, LLC,  
n/k/a WELLS FARGO SECURITIES, LLC

Defendant.

Case No. 13-cv-6719 DLC/JCF



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NOTICE AND STIPULATION OF VOLUNTARY DISMISSAL WITH PREJUDICE

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WHEREAS the National Credit Union Administration Board as Liquidating Agent of Southwest Corporate Federal Credit Union and Defendant Wachovia Capital Markets, LLC n/k/a Wells Fargo Securities, LLC have reached a settlement disposing of all claims asserted in the above-captioned action (the "Action");

NOW, THEREFORE, IT IS HEREBY STIPULATED AND AGREED, by and between the parties, through their undersigned counsel, that, pursuant to Fed. R. Civ. P. 41(a)(1)(A)(ii), this Action shall be, and hereby is, dismissed with prejudice, each party to bear its own costs.

*So ordered. The Clerk  
of Court shall close  
the case.*

*Amia G. [Signature]  
10/28/15*

Date: October 27, 2015

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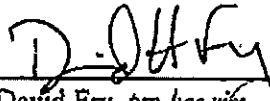
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*Attorneys for Defendant Wachovia Capital Markets, Inc.*

**SO ORDERED:**

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**U.S.D.J.**

*tnl*